


## Dreams of Better Vision: How Sleep Impacts Ocular Health and Systemic Function

Kaleb Abbott, OD, MS, FAAO  
Assistant Professor  
University of Colorado  
Dry Eye Clinic  
Center for Ocular Inflammation



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## Financial Disclosures

- Investigator
  - Lexitas
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  - Optase
  - Dompe
  - Tarsus
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  - SunSnap Kids

All relevant relationships have been mitigated

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3 parts:

- 1) Sleep, melatonin, blue light, ipRGCs, refractive error
- 2) Sleep and ocular surface disease
- 3) Sleep, posterior pole pathology, and systemic disease

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## Sleep, melatonin, blue light, ipRGCs, refractive error

Thanks to Dr. Lisa Ostrin for her contributions

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### (Almost) All Living Things Sleep

● Yes ● No or not applicable ● Not tested yet ○ Inconclusive

	Placozoon	Sponge	Hydra	Jellyfish	Roundworm	Octopus	Fruit fly	Sea slug	Crayfish	Fish	Reptile	Bird	Marine mammal	Human
Physical quiescence	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Typical posture or sleep place	○	●	●	●	●	●	●	●	●	●	●	●	●	●
Less responsive to stimuli	○	●	●	●	●	●	●	●	●	●	●	●	●	●
Can rapidly wake up	○	●	●	●	●	●	●	●	●	●	●	●	●	●
Makes up for lost sleep	○	●	●	●	●	●	●	●	●	●	●	●	●	●
Affected by sleep drugs	○	●	●	●	●	●	●	●	●	●	●	●	●	●
Follows circadian regulation	○	●	●	●	●	●	●	●	●	●	●	●	●	●
Changes in brain or cellular activity	○	●	●	●	●	●	●	●	●	●	●	●	●	●
Conservation of genes	○	●	●	●	●	●	●	●	●	●	●	●	●	●

Desai, Science, 2021

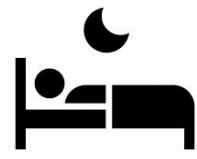
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### What is Sleep?

- Naturally recurring state of mind and body
- Altered consciousness
- Inhibition of sensory activity
- Inhibition of nearly all voluntary muscles



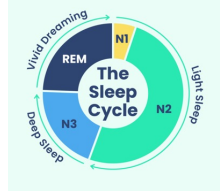
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### Sleep Stages

- One sleep cycle is three non-rapid and one rapid eye movement stages
- One night consists of four to six sleep cycles per night
- Sleep stages allow the brain and body to recuperate
- Duration of stages can vary based on age, sleep patterns, alcohol, and sleep disorders
- Improved sleep hygiene can encourage healthy transitions through the sleep stages



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### What is Rapid Eye Movement Sleep?



- Eyes are closed but move rapidly
- Heart rate and breathing increase
- REM periods increase from 10 to 60 minutes across the night
- Can have intense dreams, brain is more active

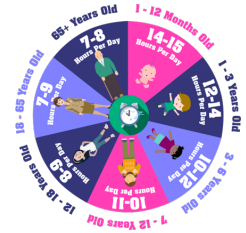
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### How Much Sleep is Needed?

- Infants (< 1): 14-15 hours
- Toddlers and preschoolers (3-6): 10-13 hours
- Children and teens (7-18): 8-11 hours
- Adults (18-64): 7-9 hours
- Elderly (65+): 7-8 hours



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### Humans Tend to Fight Sleep



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### Why Sleep?

- Metabolism
- Growth, renewal, and repair
- Brain function
- Immunity
- Heart health
- Emotional and mental health



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### Light – Eye – Sleep Relationship

- Light is the strongest Zeitgeber for circadian rhythms
- Light is detected and carried to brain centers by the intrinsically photosensitive retinal ganglion cells
- Darkness cues the release of melatonin

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Ostrin, L. Clinical Exp Opt. 2019

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### Retinal Ganglion Cells

- RGCs are found in the inner retina
- RGCs receive input from rod and cone pathways through bipolar cells
- RGC axons exit the eye as the optic nerve
- The majority of RGCs project to the LGN and visual cortex and are involved in image formation

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### Intrinsically Photosensitive Retinal Ganglion Cells

- Direct ipRGC activation through melanopsin is the intrinsic pathway
- Synaptic input from the rod and cone pathways is the extrinsic pathway
- ipRGCs synapse with dopaminergic amacrine cells

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### Intrinsically Photosensitive Retinal Ganglion Cells

- Approximately 1-3% of RGCs are intrinsically photosensitive
- Most sensitive to short wavelength light (blue, 482 nm)
- ipRGCs are directly stimulated by light through the photopigment melanopsin

Ostrin, et al. 2018  
CIE Report 2013

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### Intrinsically Photosensitive Retinal Ganglion Cells

- Primarily involved in non-image forming visual processing
  - Irradiance detection
  - Pupil size control
  - Circadian rhythm entrainment

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### Circadian Rhythm

- Fluctuations in physiological processes over approximately 24 hours
- Mediated by light input and endogenous clocks
- ipRGCs are irradiance detectors in the inner retina that entrain circadian rhythm

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### Systemic circadian rhythm

- Sleep/wake patterns
- Blood pressure and heart rate
- Body temperature
- Metabolism
- Hormone release

### Ocular circadian rhythm

- Choroidal thickness
- Axial length
- Intraocular pressure
- Photoreceptor disc shedding
- Neurotransmitter synthesis and release











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### Seasonal Changes in Day Length

- Photoperiod – day length
- Shortened day lengths in winter in northern countries are associated with seasonal affective disorder (SAD)

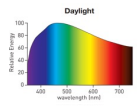
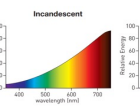
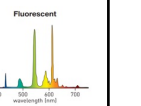


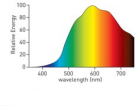
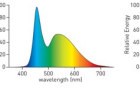
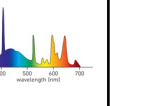





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### Spectral Composition of Light

- Natural light
  - Sunlight
  - Fire
- Artificial light
  - Indoor lighting
    - Fluorescent
    - Incandescent
    - LED
  - Electronic devices
    - Tablets
    - Phones
    - Computers
    - Televisions











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### Poor Sleep Hygiene

- Poor sleep hygiene can disrupt sleep patterns by creating an environment and routine that hinders the body's natural ability to fall asleep and stay asleep
- Contributing factors
  - Irregular sleep schedules
  - Exposure to electronic screens before bedtime
  - Consuming stimulants close to bed time









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### Shift Work

- Shift work
  - Accounts for 20-25% of the workforce (Caruso, 2014)
  - Requires artificial light during the night and irregular sleep patterns
  - Recurrent phase reversal causes constant disruption of the circadian rhythm
- Associated health problems with night shift work
  - Sleep disorders, sleepiness, physical and mental fatigue, poor memory, decreased cognitive function (Vantola et al., 2020)
  - Shift work is associated with metabolic syndrome, myocardial infarction, ischemic stroke and premature death
- Systematic review and meta-analysis of 21 studies showed that a single dose of 2000-5000 lux for <1 hour, particularly in the blue range of the spectrum can help regulate the negative circadian effects (Lam and Chung, 2021)

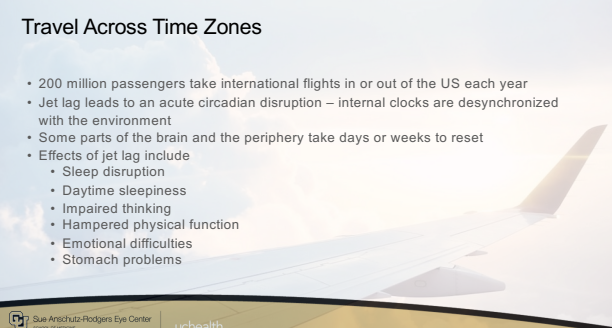







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### Travel Across Time Zones

- 200 million passengers take international flights in or out of the US each year
- Jet lag leads to an acute circadian disruption – internal clocks are desynchronized with the environment
- Some parts of the brain and the periphery take days or weeks to reset
- Effects of jet lag include
  - Sleep disruption
  - Daytime sleepiness
  - Impaired thinking
  - Hampered physical function
  - Emotional difficulties
  - Stomach problems

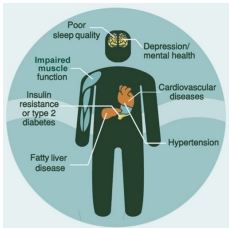


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### Systemic Effects of Circadian Disruption

- Cognitive dysfunction
- Heart disease
- Asthma
- Altered gut microbiome
- Neurodegenerative diseases
- Cancer
- Immune response and macrophage behavior



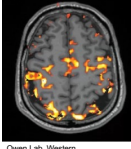
Integrative and Functional Nutrition Academy, 2021

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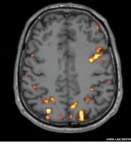
### Cognitive Function

Normal Sleep



Owen Lab, Western

Sleep Deprivation




- Short term effects
  - Difficulty concentrating
  - Decline in mood
  - Impaired memory
  - Fatigue
- Long term effects
  - Poor academic/work performance
  - Cognitive decline
  - Heightened risk of dementia

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### Heart Health

- Circadian rhythms are important regulators of cardiovascular physiology and disease
- When circadian rhythms in rodents are out of sync it leads to
  - Cardiomyopathy
  - cardiac fibrosis
  - systolic dysfunction
  - can lead to cardiovascular death
- Circadian disruption is associated obesity and diabetes, which further affect heart health



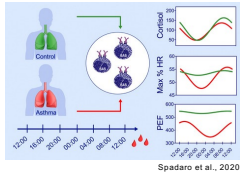
<https://www.nhlbi.nih.gov/>

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### Asthma

- Inflammatory pathways have been observed to vary with the time of day
- In asthma, airway diameter is directly controlled by circadian rhythms (Spengler et al., 2019)
- Circadian disruption through mechanisms such as light at night can exacerbate asthma and allergic conditions



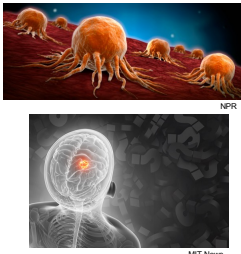
Spadaro et al., 2020

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### Cancer

- Natural light helps regulate hormones
- Artificial light at night causes disruption
  - Increases the risk of hormone-dependent cancers
  - Changes
    - hormone production and release
    - hormone receptor affinities
    - weakens immune system
    - general stressor and endocrine disruptor
- Circadian disruption from blue light at night has been linked to:
  - Breast cancer (Rybnikova 2018)
  - Prostate cancer (Haim 2013)
  - Colorectal cancer (Schernhammer 2001)

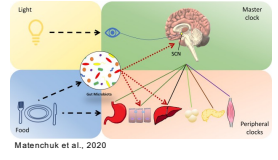


NPR  
MIT News

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### Gut Microbiome



Matenchuk et al., 2020

- Gut microbiota shown to undergo diurnal rhythms in humans
  - *Parabacteroides*, *Lachnospira*, *Bulleida*, *Roseburia*, *Veillonella*, *Haemophilus*, *Adlercreutzia*, *Eggerthella*, *Anaerotruncus*, *Oscillospira*, *Ruminococcus*, *Holdeman*, *Desulfovibrio*, *Escherichia*, and unspecified genera of families S24-7 and *Enterobacteriaceae* (Matenchuk et al., 2020)
- Gut microbiota undergoes energy metabolism, DNA repair, and cell growth during the dark phase (Thaiss et al., 2014)

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### Weight gain

- Poor sleep is associated with obesity
- Affects the hypothalamus which regulates appetite and energy expenditure
- Reduced sleep effects:
  - endocrine alterations (glucose)
  - decreased glucose tolerance
  - decreased insulin sensitivity
  - increased evening concentrations of cortisol
  - increased levels of ghrelin
  - decreased levels of leptin
  - increased hunger and appetite



Montgomery Advisor

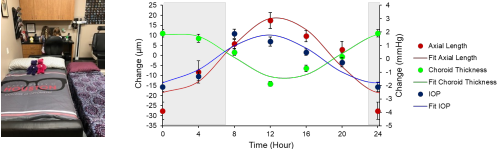
Taheri S. The link between short sleep duration and obesity: We should recommend more sleep to prevent obesity. *Arch Dis Child* 2006;91:881-884.  
 Becouf G, Pannan S. Sleep and obesity. *Curr Opin Clin Nutr Metab Care*. 2011 Jul;14(4):402-12. doi: 10.1097/MCO.0b013e3283479109. PMID: 21659802; PMCID: PMC363233

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### Ocular Circadian Rhythms

- Corneal thickness, epithelial shedding
- Choroidal thickness, axial length
- Intraocular pressure, perfusion pressure
- Photoreceptor disc shedding, neurotransmitter synthesis and release




Ostrin et al., 2019

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### Ocular Effects of Circadian Disruption

- Cornea: epithelial shedding in the morning
  - recurrent corneal erosions
- Conjunctiva: thicker in the early morning immediately after waking (Read 2016),
  - scleral lens implications
- Meibomian glands: yielding liquid secretions, neutrophils (Blackie 2010, Reyes 2018)

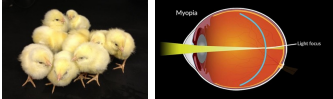


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### Circadian Rhythms and Refractive Error

- Light input and circadian rhythm may play a role in myopia development
  - Brief periods of light at night affects eye growth in chicks (Nickla and Totonelly, 2016)
  - Constant light or constant dark induces refractive changes (Li et al. 1995, Gottlieb et al. 1987)
- Time of day of defocus important in experimental myopia (Nickla et al. 2017)
  - Myopic defocus in the evening is more effective at inhibiting eye growth than in the morning

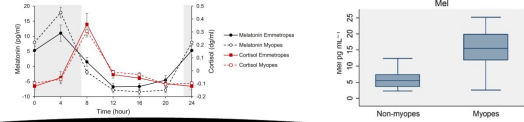


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### Myopia, Melatonin, and Sleep

- Sleep duration, quality, and bedtime
  - Emmetropes and myopes demonstrate differences in sleep duration and sleep quality (Gong et al, 2014, Jee et al, 2016)
  - Late bedtime (after 9:30 pm) increased the risk of myopia (Liu et al. 2020)
  - Myopia is associated with decreased sleep quality (Abbott et al. 2018)
- Morning melatonin varies with refractive error (Kearney et al. 2017, Kumar et al. 2021)



Kearney et al. 2017

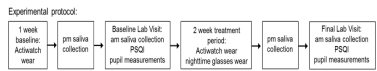

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### Effects of Nighttime Blue Blocking Lenses

- 22 adult subjects recruited to wear blue blocking glasses at nighttime for 2 weeks
- One subject was excluded due to non-compliance
- Average age of 26.7
- 10 females and 11 males

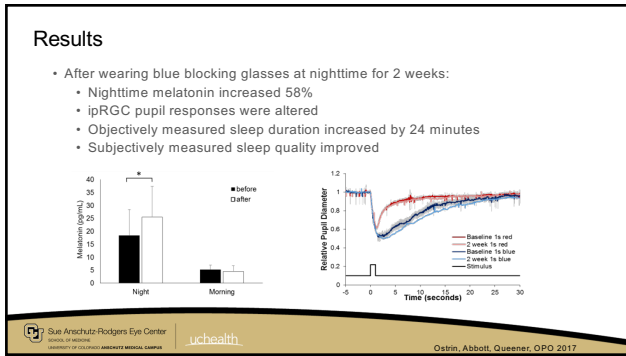
Experimental protocol:

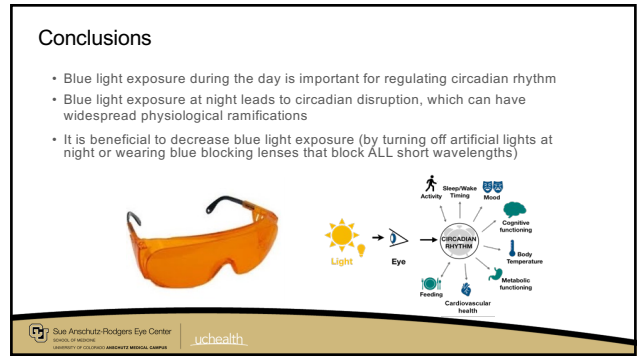
Ostrin, Abbott, Quenkel, et al.

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*Sleep and ocular surface disease*

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### Case Report – Initial Visit

76 year-old white female presents with constant dryness and irritation

Lives in nursing home

POH: CE/IOL

PMH: mild dementia and mild HTN

Meds: Aricept, hydrochlorothiazide

Ocular meds: Patanol 0.2% 1-2x per day

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### Case Report – Initial Visit

- VAsc
  - OD: 20/25-2
  - OS: 20/30
- Emmetropic after CE/IOL
- SLE: 2+ diffuse PEK, minimal MGD
- Posterior pole: unremarkable
- Plan: PFATs QID, FML BID for 2 weeks, Restasis BID
- RTC 2 months

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### Case Report – 2<sup>nd</sup> visit

- No improvement in corneal epitheliopathy or symptoms
- Never received any drops
- Plan
  - Re-printed prescriptions
  - Daughter to give prescriptions directly to nurse at facility

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### Case Report – 3rd visit



- Patient thrilled with how her eyes feel. No dryness or irritation.
- VAsc
  - OD: 20/20
  - OS: 20/20
- Resolved corneal epitheliopathy
- So the plan worked? Right?

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### Case Report – 3rd visit

- The only problem was...

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### Case Report – 3rd visit


- The only problem was... Patient never received any eyedrops

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### Case Report – 3rd visit

- The only problem was... Patient never received any eyedrops
- I'm confused.
- Daughter is confused.
- Patient is thrilled.



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### Case Report – what changed?



- Began asking questions
- Patient was more alert, energetic, and in better spirit
- Daughter said she had been sleeping sleeping better lately
- 5 hours ----> 8 hours per night

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### Case Report – what changed?



- Began asking questions
- Patient was more alert, energetic, and in better spirit
- Daughter said she had been sleeping sleeping better lately
- 5 hours ----> 8 hours per night

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## Patients with dry eye disease have poor sleep

### High prevalence of sleep and mood disorders in dry eye patients: survey of 1,000 eye clinic visitors

**Dry eye and sleep quality: a large community-based study in Hangzhou**  
 Xiaoning Yu<sup>1,2,3</sup>, Huihan Guo<sup>1,2,4</sup>, Xin Liu<sup>1,2,3</sup>, Guowei Wang<sup>1,2,4</sup>, Yan Min<sup>1,2</sup>, Shih-Hua Sarah Chen<sup>1</sup>, Summer S. Han<sup>1,5</sup>, Robert T. Chang<sup>1</sup>, Xueying Zhao<sup>1,2,4</sup>, Ann Hsing<sup>1</sup>, Shankuan Zhu<sup>1,2,4,6</sup> and Ke Yao<sup>1,2</sup>

**The association of sleep quality with dry eye disease: the Osaka study**  
 Morten Schjerve Mjøs<sup>1,2</sup>, Tor Pasake Utstein<sup>1</sup>, Harald Seider<sup>1</sup>, Christopher J. Hammond<sup>1,3</sup>, Jelle Veld<sup>1,4,5,6</sup>

**Sleep Disorders are a Prevalent and Serious Comorbidity in Dry Eye**  
 Masahiko Ayaki<sup>1,2</sup>, Kazuo Tsubota<sup>1</sup>, Motoko Kawashima<sup>1</sup>, Taishiro Kishimoto<sup>2</sup>, Masaru Mimura<sup>3</sup> and Kazuno Negishi<sup>1</sup>

**Sleep and mood disorders in dry eye disease and allied irritating ocular diseases**  
 Masahiko Ayaki<sup>1</sup>, Motoko Kawashima<sup>1</sup>, Kazuo Negishi<sup>1</sup>, Taishiro Kishimoto<sup>2</sup>, Masaru Mimura<sup>3</sup> & Kazuo Tsubota<sup>1</sup>

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## Patients with sleep disorders are more likely to have dry eye disease

### Evaluation of Ocular Surface Health in Patients with Obstructive Sleep Apnea Syndrome

Emine Esra Karaca<sup>1,7</sup>, Hanife Tuba Akcam<sup>2</sup>, Feyzahan Uzun<sup>3</sup>, Sengül Özdek<sup>4</sup> and Tansu Ulukavak Çiftçi<sup>5</sup>

**The association of dry eye symptom severity and comorbid insomnia in US veterans**  
 Anat Galor, MD, MSPH<sup>1,2</sup>, Benjamin E Seiden, BS<sup>1</sup>, Jasmine J. Park, BS<sup>1</sup>, William Feuer, MS<sup>1</sup>, Allison L. McClellan, OD<sup>1</sup>, Elizabeth R. Felix, PhD<sup>1,3</sup>, Roy C. Levitt, MD<sup>4,5,6</sup>, Constantine Sarantopoulos, MD, PhD<sup>1,4</sup>, and Douglas M. Wallace, MD<sup>1,7</sup>

**Ocular surface assessment and morphological alterations in meibomian glands with meliography in obstructive sleep apnea Syndrome**  
 Shih-Hua Sarah Chen<sup>1</sup>, St. Ana, Spain<sup>1</sup>, Maria Patricia<sup>2</sup>, Michael Jose Subbian<sup>3</sup>, Gnan K. Boagala<sup>4</sup>

**Prevalence and Predictors of Ocular Complications in Obstructive Sleep Apnea Patients: A Cross-sectional Case-control Study**  
 Neveen E. Mery<sup>1</sup>, Badawi E. Arnan<sup>1</sup>, Ahmad A. Magdi<sup>1</sup>, Anisalla J. Nabil<sup>1</sup>, Sathikarappa R. Pandi-Perumal<sup>2</sup>, Ahmed S. Balfanman<sup>1</sup>, David Warren Spence<sup>3</sup>, Pet D. Loudon<sup>4</sup> and Nevitt PW Zeki<sup>1,5</sup>

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## Relationship between dry eye and sleep quality

- 71,761 participants in Netherlands
- Pittsburg Sleep Quality Index
  - 19 questions about quality, latency, duration, efficiency, disturbances, medications, and daytime dysfunction
- Women's Health Study dry eye questionnaire
  - How often do your eyes feel dry?
  - How often do your eyes feel irritated?
  - Have you every been diagnosed with dry eye?

Morten Schjerve Mjøs, Tor Pasake Utstein, Harald Seider, Christopher J. Hammond, Jelle Veld. The relationship between dry eye and sleep-quality. *The Ocular Surface*. Volume 20, 2021. Pages 13-19. ISSN 1542-0124.

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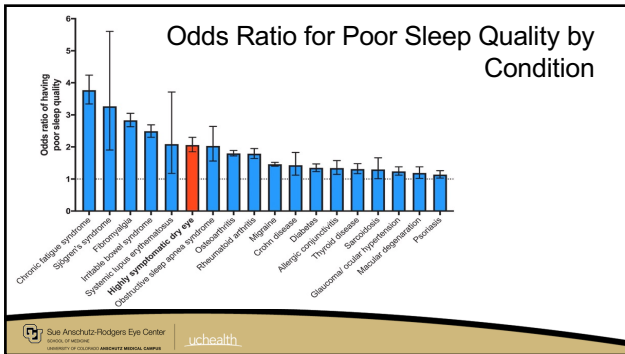
51

## Relationship between dry eye and sleep quality

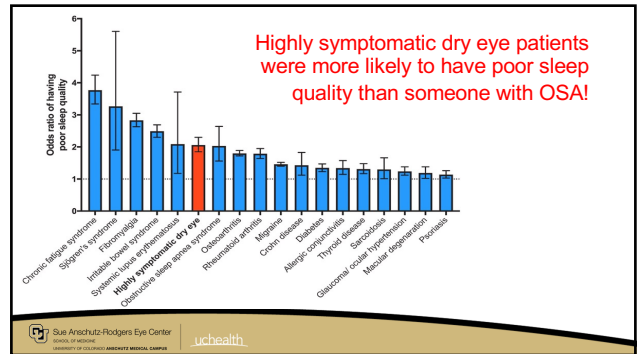
- 8.9% had dry eye disease (WHS)
- In the dry eye group, 36.4% had poor sleep (24.8% of controls)
- 44.9% of patients with dry eye symptoms "often" or "constantly" had poor sleep
- All components of sleep quality were affected in subjects with dry eye
  - After correcting for comorbidities
- Participants with poor sleep were 50% more likely to have dry eye

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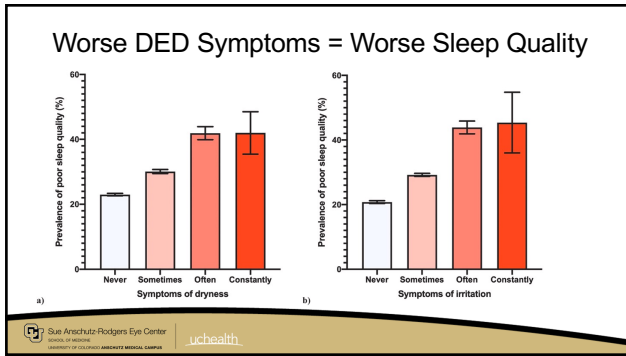
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55

### Conclusions

- Sleep quality is reduced in dry eye patients of all demographics
- After correction for comorbidities, dry eye symptoms were strongly associated with poor sleep quality
- People with dry eye symptoms were 1.5x more likely to have poor sleep
- Participants with poor sleep quality were 50% more likely to have dry eye

56

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57

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58

### Conclusions

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- After correction for comorbidities, dry eye symptoms were strongly associated with poor sleep quality
- People with dry eye symptoms were 1.5x more likely to have poor sleep
- Participants with poor sleep quality were 50% more likely to have dry eye

59

### Sjogren's Syndrome Patients Have Worse Sleep

- Wang et al found a 81.7% prevalence of sleep disorders amongst patients with primary Sjogren's Syndrome
- 52.7% of Sjogren's patients suffering from moderate or severe sleep disorders
- 2017 systemic review concluded that SS patients with daytime fatigue should be screened for sleep disorders

1) Gu Y, Li J, Li L, et al. Prevalence, correlates, and impact of sleep disturbance in Chinese patients with primary Sjogren's syndrome. *Int J Rheum Dis.* 2020;23(3):367-373. doi: 10.1111/1752-1924.13579  
 2) Hackett RL, Gatto JM, Ellis J, et al. An investigation into the prevalence of sleep disorders in primary Sjogren's syndrome: a systematic review of the literature. *Rheumatology.* 2017;56(4):570-583. doi: 10.1093/rheumatology/kew443  
 3) Wang YF, Fan Z, Cheng YB, Jin YL, Huo Y, He J. Investigation of sleep disturbance and related factors in patients with primary Sjogren's syndrome. *Beijing Da Xue Xue Bao Yi Xue Ban.* 2020;52(8):1003-1008. Chinese. doi: 10.13723/j.cnki.1671-1676.2020.06.012

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### Does poor sleep cause dry eye or does dry eye cause poor sleep?

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### After 1 Night of Sleep Deprivation in Humans

- ↑ Tear osmolarity
- ↓ TBUT
- ↓ Lacrimal gland secretions

Y.B. Lee, J.W. Koh, J.Y. Hyon, W.R. Wee, J.J. Kim, Y.J. Shin. Sleep deprivation reduces tear secretion and impairs the tear film. Invest Ophthalmol Vis Sci, 55 (6) (2014), pp. 3525-3531

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### Sleep Deprivation in Mice

- 50% reduction in aqueous tear secretions after 2 days of sleep deprivation

Time Point	NC	SD
2D	~4.5	~2.5
4D	~3.5	~2.2
6D	~4.5	~2.5
8D	~4.8	~2.5
10D	~4.8	~2.5

S. Li, K. Ning, J. Zhou, Y. Guo, H. Zhang, Y. Zhu, et al. Sleep deprivation disrupts the lacrimal system and induces dry eye disease. Exp Mol Med, 50 (3) (2018)

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### Sleep Deprivation in Mice

- Significant increase in corneal sensitivity

Time Point	NC	SD
2D	~3.0	~5.0
4D	~2.5	~5.5
6D	~3.0	~5.5
8D	~3.0	~5.5
10D	~4.5	~3.5

S. Li, K. Ning, J. Zhou, Y. Guo, H. Zhang, Y. Zhu, et al. Sleep deprivation disrupts the lacrimal system and induces dry eye disease. Exp Mol Med, 50 (3) (2018)

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### Sleep Deprivation in Mice

- Increase in corneal staining

Time Point	NC	SD
2D	~1.0	~4.0
4D	~1.0	~8.0
6D	~1.0	~12.0
8D	~1.0	~13.0
10D	~1.0	~14.0

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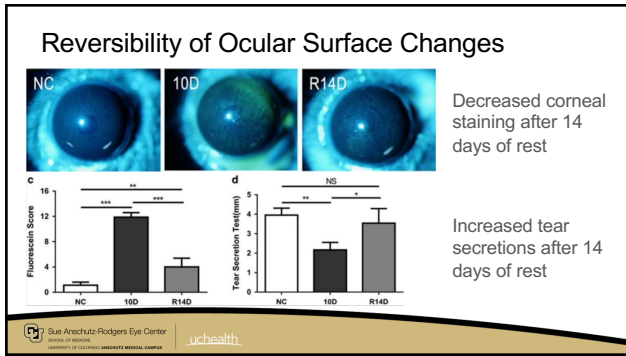
### Sleep Deprivation in Mice

- Lacrimal gland (LG) hypertrophy
  - Altered lipid metabolism
- Decreased dopamine and acetylcholine in LG tissue
- Altered tear film amino acids
  - Altered gene expression in LG
- Increased corneal epithelial apoptosis

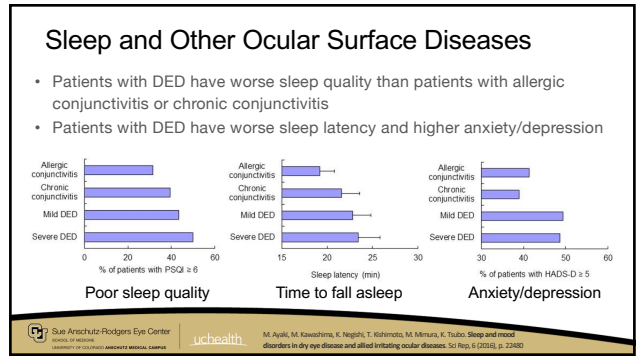
Group	Weight (mg)
NC	~3.5
2D	~6.5
10D	~10.0

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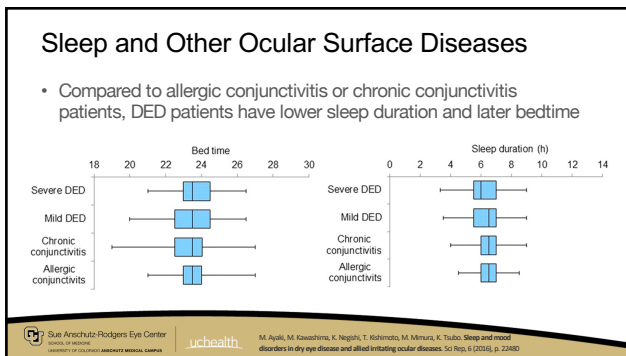
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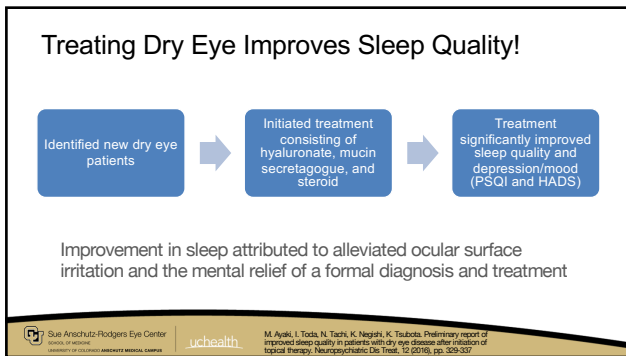
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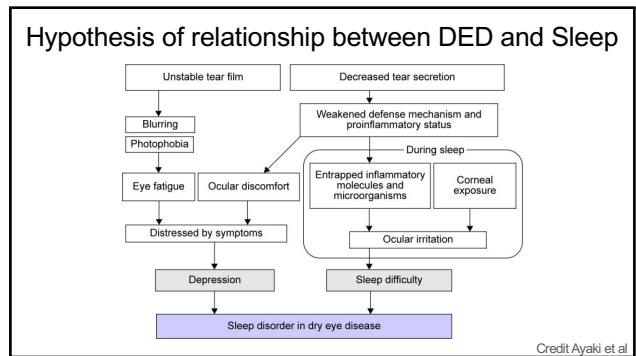
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### How does sleep position affect DED?



**SIDE SLEEPER**



**BACK SLEEPER**




**BELLY SLEEPER**

**Side or face:** increased lissamine green staining and higher OSDI scores  
**Back:** less lissamine green staining and lower OSDI scores


Sue Anschutz-Rodgers Eye Center | uchealth | Alex D. Perry MD, Vicki A. Roserberg E, Alex L. Dornenfeld ED, Effect of Sleep Position on the Ocular Surface. Cornea. 2017 May;36(5):567-571. doi: 10.1097/ICO.0000000000001162. PMID: 28527381

73


### How does sleep position affect DED?



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**BACK SLEEPER**



**BELLY SLEEPER**

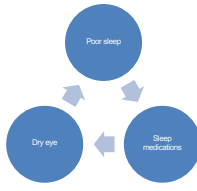
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Sue Anschutz-Rodgers Eye Center | uchealth | Alex D. Perry MD, Vicki A. Roserberg E, Alex L. Dornenfeld ED, Effect of Sleep Position on the Ocular Surface. Cornea. 2017 May;36(5):567-571. doi: 10.1097/ICO.0000000000001162. PMID: 28527381

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### Sleep Medications and Dry Eye Disease

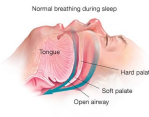
- Sleep medications often work like tricyclic antidepressants
  - Decrease lacrimal gland secretions
- Common sleep medications include:
  - Doxepin (Silenor, Zonalon, Prudoxin)
  - Eszopiclone (Lunesta)
  - Suvorexant (Belsomra)
  - Triazolam (Halcion)
  - Zaleplon (Sonata)
  - Zolpidem (Ambien)
  - Trazodone (Desyrel)
  - Remelteon (Rozerem)
- Both poor sleep and taking sleep medications may cause dry eye



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### Obstructive Sleep Apnea and Ocular Surface




Normal breathing during sleep

Tongue, Hard palate, Soft palate, Open airway

**Individuals with OSA**

- 66.7% have associated dry eye disease
- 54.17% have floppy eyelid syndrome
- \*Dry eye is worse with CPAP/BIPAP
- Increased meibomian gland dropout
- Lower TBUT

\*not always true



Obstructive sleep apnea


Tongue, Blocked airway

Sue Anschutz-Rodgers Eye Center | uchealth | 1) Shah, Piyu V., et al. "The correlation between non-invasive ventilation use and the development of dry eye disease." Cornea 33(6) (2015). 2) Muhlutz, Erin MD, O'Brien, Meghan MD, Entes, Renee MD, Beckert, Erika MD. Evaluation of Meibomian Glands in Obstructive Sleep Apnea-Hypopnea Syndrome. Cornea 39(6):649-650, June 2020.

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### OSA and Floppy Eyelid Syndrome

- Bilateral, asymmetric
- Marked laxity of eyelids
  - Decreased elastin
  - Collagen changes to eyelids
- Friction related OSD
  - Palpebral conjunctiva irritation
  - Ocular surface irritation
- The prevalence of OSA in patients with floppy eyelid syndrome ranged from 96% to 100% in the 2 retrospective case reviews performed by McNab.



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### Positive Airway Pressure and Floppy Eyelids

- Acar M et al assessed effects of positive airway pressure (PAP) on the ocular surface over months

FES Stage

CFS

TBUT

Schirmer's

OSDI

Sue Anschutz-Rodgers Eye Center | uchealth | Acar M, Fiaz H, Yucepe M, Ardic S. Long-term effects of PAP on ocular surface in obstructive sleep apnea syndrome. Can J Ophthalmol. 2014 Apr;49(2):217-221

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✓  
FES Stage

✓  
CFS

✓  
TBUT

✓  
Schirmer's

✓  
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Sue Anschutz-Rodgers Eye Center | uchealth | Acar M, Firsiroti H, Yucelge M, Ardic S. Long-term effects of PAP on ocular surface in obstructive sleep apnea syndrome. *Can J Ophthalmol*. 2014 Apr;49(2):217-221

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### Positive Airway Pressure and Floppy Eyelids

- Acar M et al assessed effects of positive airway pressure (PAP) on the ocular surface over months
- Long-term PAP therapy may improve the clinical picture of FES

✓  
FES Stage

✓  
CFS

✓  
TBUT

✓  
Schirmer's


✓  
OSDI

Sue Anschutz-Rodgers Eye Center | uchealth | Acar M, Firsiroti H, Yucelge M, Ardic S. Long-term effects of PAP on ocular surface in obstructive sleep apnea syndrome. *Can J Ophthalmol*. 2014 Apr;49(2):217-221

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### Purpose of sleep for the ocular surface

- Eyelids create a mechanical barrier during sleep
- REM promote aqueous humor flow which increases nutrient supply to the cornea
- REM distributes tears over the ocular surface during sleep




Sue Anschutz-Rodgers Eye Center | uchealth | 1) Gullion, S. (2019). Rationale for 24-hour management of dry eye disease: A review. *Contact Lens and Anterior Eye*. 42: 147-154. 2) Epstein, A.B. (2011). Ophthalmic Physician e-journal. *Review of Optometry* Vol. 21, No.2

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### Incomplete Lid Seal / Incomplete Lid Closure

- Affects ~5% of the population
- 80% of MGD patients report "eyelids sticking to the eyes" in the mornings
- Occurs in cases of FES
- Worsened by CPAP air flow
- Treatments
  - MGD treatment
  - Ung, moisture goggles, saran wrap, eyelid reconstruction



Korb-Blackie Light Test

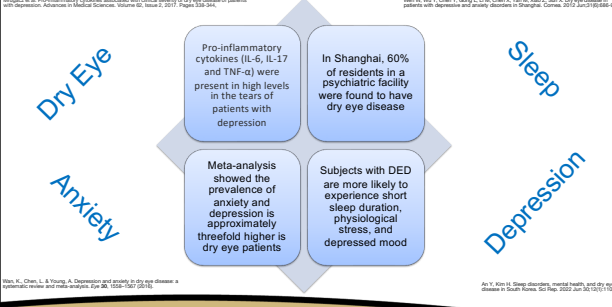
Sue Anschutz-Rodgers Eye Center | uchealth | 1) Gullion, S. (2019). Rationale for 24-hour management of dry eye disease: A review. *Contact Lens and Anterior Eye*. 42: 147-154. 2) Epstein, A.B. (2011). Ophthalmic Physician e-journal. *Review of Optometry* Vol. 21, No.2

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Murphy et al. Pro-inflammatory cytokines associated with clinical severity of dry eye disease of patients with depression. *Advances in Medical Sciences*. Volume 6, Issue 2, 2011. Pages 133-144. 2) Wu W, Wu Y, Chen Y, Gang Y, Li M, Chen X, Tian M, Bao Z, Sun Y. Dry eye disease in patients with depression and anxiety disorders in Shanghai. *Cornea*. 2012 Jun;31(6):682-89. 3) Chen H, Chen L, & Young A. Depression and anxiety in dry eye disease: a systematic review and meta-analysis. *Cor*. 2015; 15(2): 251-7. 4) Chen H. Sleep disorders, mental health, and dry eye disease in South Korea. *Sci Rep*. 2022 Jun 24;12(1):13248.

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### The Beijing Eye Study – Results

- Dry eye was the only common ocular condition associated with depression
- Dry eye was linked more with depression than low visual acuity
- Although this study had more extreme results, it aligns with a meta-analysis of 28 studies by Zheng et al which found dry eye is more frequently associated with depression than any other eye condition
- Theory: patients with with depression/anxiety can experience sensitization which increases pain perception making them more susceptible to pain from dry eye disease

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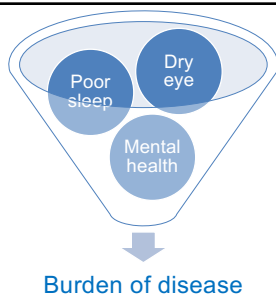
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- Theory: patients with with depression/anxiety can experience sensitization which increases pain perception making them more susceptible to pain from dry eye disease (Galor et al)

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### Posterior ocular health and systemic disease

Thanks to Dr. Sherrol Reynolds for her contributions

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### Posterior conditions associated with poor sleep

- Glaucoma
- Papilledema
- Diabetic retinopathy
- Central serous chorioretinopathy
- Non-arteritic anterior ischemic optic neuropathy
- AMD

Heizer R, Vat S, Marques-Vidal P, Merz-Soler H, Andres D, Tobback N, et al. Prevalence of sleep-disordered breathing in the general population: the HypnLAS study. *Lancet Respir Med.* 2015;3(4):310-4.  
 D'Souza H, Kipporf KG. Retinal vascular manifestations of obstructive sleep apnea. *Curr Opin Ophthalmol.* 2020 Nov;31(6):508-513.

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### OSA

- Characterized by episodic upper airway collapse
  - Periodic reductions or cessations in ventilation
  - Subsequent hypoxia
  - Hypercapnia
  - Arousals from sleep
- Symptoms
  - Daytime fatigue
  - Morning headaches
  - Snoring, choking, or gasping during sleep
- Prevalence has increased by as much as 55% in certain age groups in two decades
- Associated with increase systemic disease which impact posterior ocular health

Heizer R, Vat S, Marques-Vidal P, et al. Prevalence of sleep-disordered breathing in the general population: the HypnLAS study. *Lancet Respir Med.* 2015;3(4):310-4.  
 D'Souza H, Kipporf KG. Retinal vascular manifestations of obstructive sleep apnea. *Curr Opin Ophthalmol.* 2020 Nov;31(6):508-513.

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### OSA Risk Factors

- Older age
- Male
  - Affects 15-30% of males and 10-15% of females
- Obesity
  - OSA affects about 75% of individuals with a BMI greater than 40 kg/m<sup>2</sup>
  - 58% of moderate-to-severe OSA cases are attributable to a BMI greater than or equal to 25
- Upper airway anatomical abnormalities
- Smoking
- Family history

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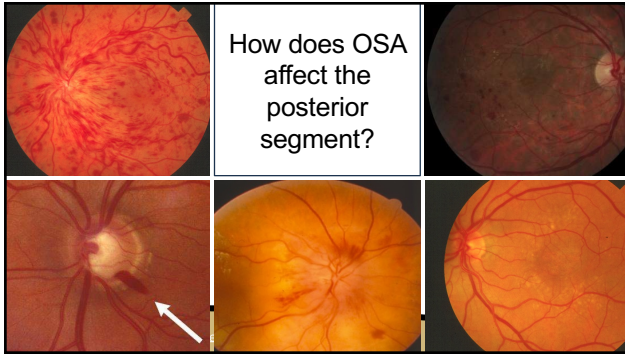
101

### OSA Eye Associations

- Anterior segment
  - Floppy eyelid syndrome
  - Keratoconus
- Posterior segment
  - Glaucoma
  - Non-arteritic anterior ischemic optic neuropathy
  - Papilledema
  - Central serous chorioretinopathy
  - AMD
  - Diabetic retinopathy and macular edema
  - Retinal artery and vein occlusions

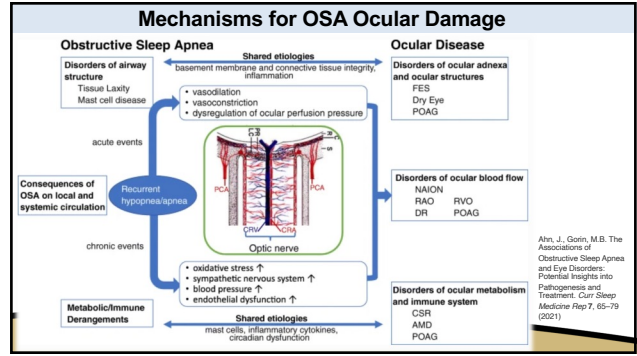
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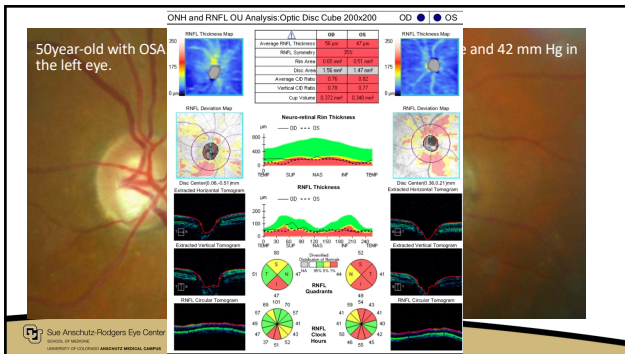


How does OSA affect the posterior segment?

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104



105

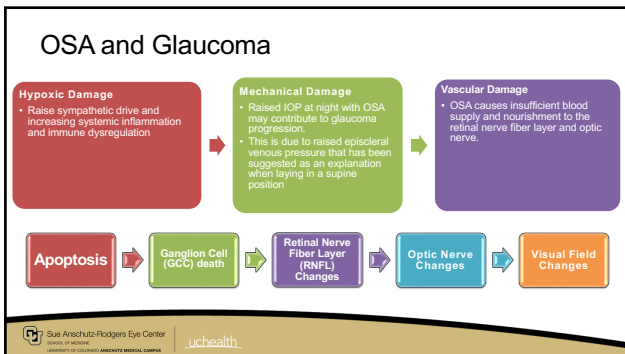
### OSA and Glaucoma

**REVIEW ARTICLE**  
Obstructive sleep apnoea and glaucoma: a systematic review and meta-analysis

- OSA Results in 40% Greater Risk of Glaucoma
- POAG
- LTG (normal tension glaucoma)

The researchers also included separate information about OSA's effects on nonglaucomatous eyes, such as quantifiable markers of glaucomatous change in the eye, looking at characteristic visual field defects, intraocular pressure (IOP) and retinal nerve fiber layer (RNFL) thickness. They found that OSA patients experienced more significant visual field loss when compared with those without the condition. These patients also saw higher IOP and thinner RNFL thickness, potentially indicating more advanced disease. Severe OSA displayed the highest IOP and thinnest RNFL.

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### Normal Tension Glaucoma

- NTG appears to be more prevalent in OSA
- Presence of floppy eyelid syndrome in patients with OSA is associated with a 4-fold increase in glaucoma (NTG & POAG)
- 23% vs 5% p = 0.04
- 150 FES patients

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Recent data suggests CPAP treatment improves ocular parameters associated with glaucoma progression, including VF and RNFL thickness. Likely also improves perfusion pressure.

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Cheong A.Y, Wang SKX, Wilson CY, et al. Obstructive sleep apnea and glaucoma: a systematic review and meta-analysis. Eye (Lond). March 28, 2023

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OSA and NAION

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### Association Between Sleep Apnea Syndrome and Nonarteritic Anterior Ischemic Optic Neuropathy

Daniel S. Mizon, MD, Thomas R. Hedges III, MD, Bruce Ehrenberg.  
Arch Ophthalmol. 2002;120(3):601-605. doi:10.1001/archoph.120

**Abstract**  
**Objective:** To determine if patients with nonarteritic ische during sleep, inducing hypoxia and sleep disruption.  
**Methods:** We recruited 17 patients with NAION and 17 age overnight polysomnography and determined the respirato among patients with NAION and matched controls using a study using the binomial test.  
**Results:** Twelve (71%) of 17 patients with NAION had SAS, had severe SAS. Only 3 (18%) of 17 controls had SAS (P = prevalence study had SAS (P = .005). In the group older 11 (P<.001).  
**Conclusions:** We found a high prevalence of SAS in patients with NAION, which supports previous case reports suggesting that such an association exists. This association may explain why approximately 75% of all patients with NAION discover visual loss on first awakening or when they first use vision critically after sleeping. Our findings indicate that SAS may play an important role in the pathogenesis of NAION.

The odds of NAION is increased 6-fold in those with OSA (Wu et al, 2016)

Those treated with CPAP therapy, on the other hand, did not have elevated NAION risk relative to controls.

Those with poor compliance to CPAP therapy had significantly higher risk of a second eye involvement. (Stein et al, 2011).

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### Papilledema and Obstructive Sleep Apnea Syndrome

Valentin J. Dussan, MD, MMedSci, MD, PhD, D. N. Yu, MD

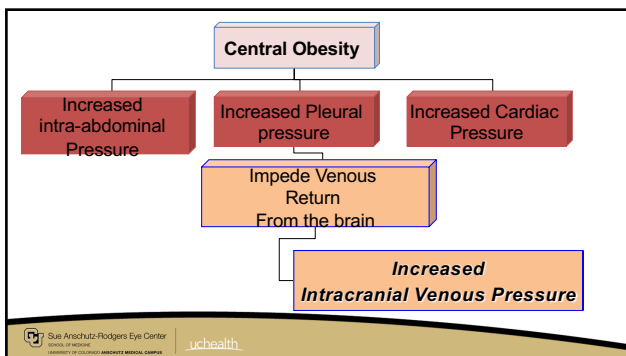
Even after adjusting for obesity, Stein et al. still found significantly higher incidence rates of IIH and papilledema in patients with OSA.

Mixed evidence as to whether CPAP use is helpful.

**Objective:** Features of optic disc edema associated with obstructive sleep apnea syndrome (SAS).  
**Methods:** A series of 4 patients with SAS and papilledema (PE) underwent complete neuro-ophthalmologic evaluation and lumbar puncture. In 1 patient, continuous 24-hour intracranial pressure (ICP) monitoring was also performed.  
**Results:** All 4 patients had bilateral PE that was asymmetric in 2. Three patients had optic nerve dysfunction, asymmetric in 1, unilateral in 2. Daytime cerebrospinal fluid pressure measurements were within normal range. Nocturnal monitoring performed in one patient, however, demonstrated repeated episodes of marked ICP saturation.  
**Conclusions:** We propose that PE in SAS is due to episodic nocturnal hypoxemia and hypercarbia resulting in increased ICP secondary to cerebral vasodilation. In these individuals, intermittent ICP elevation is sufficient to cause persistent disc edema. These patients may be at increased risk for developing visual loss secondary to PE compared with patients with obesity-related pseudotumor cerebri because of associated hypoxemia. The diagnosis of SAS PE may not be appreciated because daytime cerebrospinal fluid pressure measurements are normal and because patients tend to present with visual loss rather than with symptoms of increased ICP.

Arch Ophthalmol. 2000;118:1626-1630

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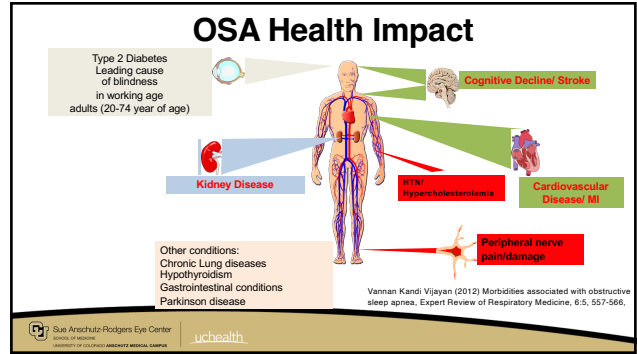
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OSA and the Retina

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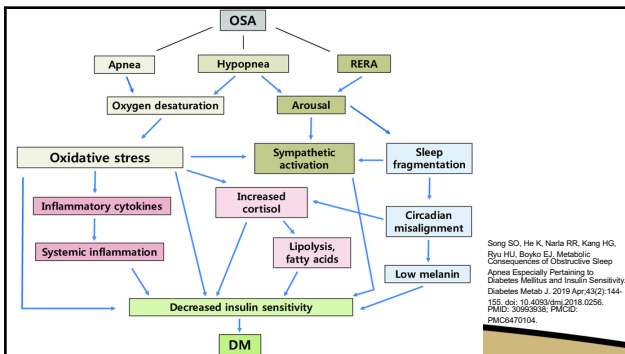
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### OSA and Diabetes

- Short sleep (< 5.5 hours) **triples** the likelihood of T2DM in observational studies after all controls.
- Severe obstructive sleep apnea increased incident diabetes 71% over 13 years independently of adiposity.
- Both short (<5.5 hrs) and long (> 9 hrs) sleep duration are significantly associated with adiposity & insulin resistance.

Diabetes Res Clin Pract. 2016 May;139:195-202

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### OSA and Diabetes

- During REM sleep, the number of hypoxic events per sleep hour is linked with diabetic retinopathy severity
- A better predictor of retinopathy is # of sleep events during which SpO2 decreases by at least 4%
- Upregulation of CLOCK genes increases retinal VEGF expression, leading to retinal neovascularization especially under OSA induced hypoxic conditions

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### OSA and Diabetes

- DR rates were 2-2.5X higher in patients with untreated/under-treated OSA
- OSA is associated with 5-fold increase in the odds of progressing to severe NPDR and PDR
- Patients with CSME have better visual outcomes when treated with a CPAP



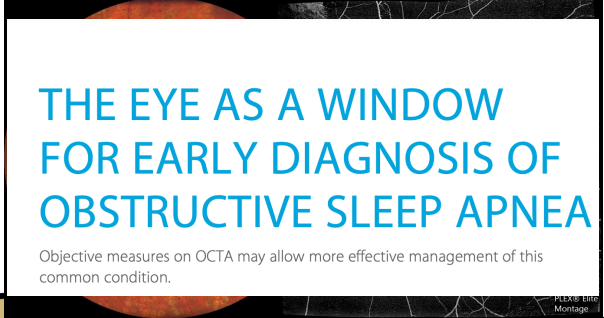
Am J Respir Crit Care Med. 2017 Oct 1;196(7):892-900. Retina. 2014 Dec;34(12):2423-30. Respiration. 2012;84(4):275-82.

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### THE EYE AS A WINDOW FOR EARLY DIAGNOSIS OF OBSTRUCTIVE SLEEP APNEA

Objective measures on OCTA may allow more effective management of this common condition.



PLDX® Live Montage

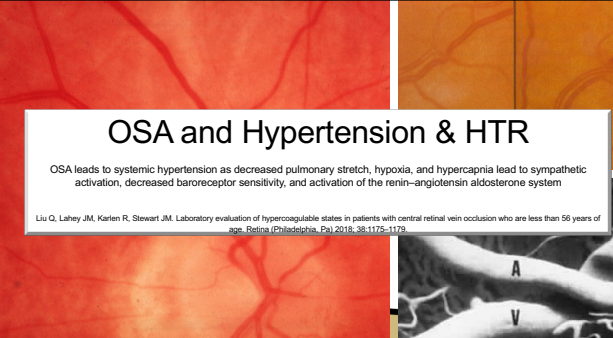
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### OSA and Hypertension & HTR

OSA leads to systemic hypertension as decreased pulmonary stretch, hypoxia, and hypercapnia lead to sympathetic activation, decreased baroreceptor sensitivity, and activation of the renin-angiotensin aldosterone system

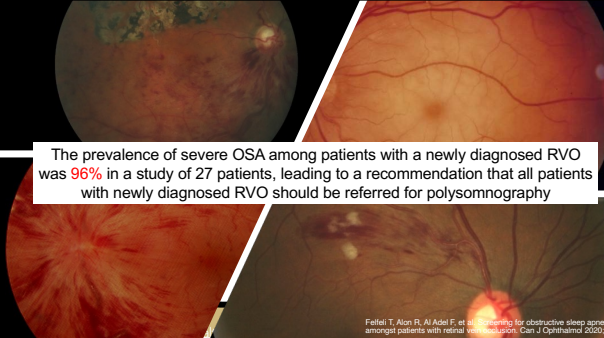
Liu Q, Lahey JM, Karlen R, Stewart JM. Laboratory evaluation of hypercoagulable states in patients with central retinal vein occlusion who are less than 56 years of age. Retina (Philadelphia, Pa) 2018; 38:1175-1179.



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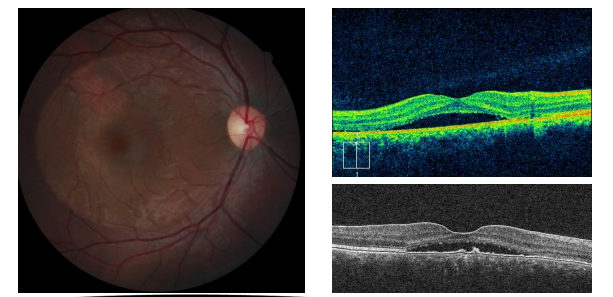
The prevalence of severe OSA among patients with a newly diagnosed RVO was **96%** in a study of 27 patients, leading to a recommendation that all patients with newly diagnosed RVO should be referred for polysomnography



Fattali T, Alon R, Al-Azab F, et al. Screening for obstructive sleep apnea amongst patients with retinal vein occlusion. Can J Ophthalmol 2020; 55:310-318.

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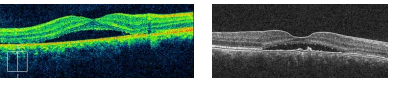


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### OSA and Central Serous Chorioretinopathy

- OSA is considered as a risk factor for developing CSCR
  - significantly elevates circulating levels of epinephrine and cortisol
  - autonomic dysregulation
- Poor sleep quality (even without OSA) has also been shown to be a risk factor for development of CSCR



Wu CY, Ranganath T, Rattanasingh P, et al. Association of obstructive sleep apnea with central serous chorioretinopathy and choroidal thickness: a systematic review and meta-analysis. Retina 2018; 38:1640-1651.  
Zhu Y, Li M, Zhang X, et al. Poor sleep quality is the risk factor for central serous chorioretinopathy. J Ophthalmol (Hindawi) 2018; 2018.

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### AMD – Risk Factors

Smoking      UV Light      Metabolic Syndrome

Blue eyes      Unhealthy diet

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### OSA and AMD

- Retinal oxygen consumption is highest in the darkness
  - Requires 50% more oxygen in the due to the need to maintain the dark current
- OSA likely increases hypoxia in the outer retina

All About Vision

Yu D-Y, Grigle SJ. Outer retinal anoxia during dark adaptation is not a general property of mammalian retina. *Comp Biochem Physiol A Mol Integr Physiol* 2002;132:47-52.

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### Associations between age-related macular degeneration and sleep dysfunction: A systematic review

Josh Tjunrong Sia MBBS, Ester P. X. Lee MSc, Chai Ming Gemmy Cheung FRCOphth, Eva K. Fenwick PhD, Augustinus Laude FRCSEd(Ophth), Kam Chun Ho PhD, Beau J. Fenner MD, PhD ... See all authors

First published: 17 August 2022 | <https://doi.org/10.1111/ceo.14146> | Citations: 3

Ryan E. K. Man and Raymond P. Najjar contributed equally and are to be considered co-last authors.

- AMD was associated with increased rates of sleep apnea and poorer reported sleep quality (4 studies)
- Patients with sleep apnea or insomnia were at higher risk of developing AMD (5 studies)

2 case-control studies  
3 longitudinal cohort studies  
1 cross-sectional study

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### OSA and AMD

- Short sleep duration increases the risk of nvAMD
  - In a case control study of AMD pts with self-reported short sleep the relative risk of CNVM was:
    - 3.29 for 7-8 hours of sleep
    - 2.25, for 6-7 hours of sleep
    - 1.39 for > 8 hours of sleep
- Poor response to anti-VEGF treatment in untreated OSA
- Better visual outcomes with CPAP+Avastin than Avastin alone

Ophthalmic Epidemiol. 2016;23. Retina. 2016 Apr;36(4):791-7. (1):20-6

Yu D-Y, Grigle SJ. Outer retinal anoxia during dark adaptation is not a general property of mammalian retina. *Comp Biochem Physiol A Mol Integr Physiol* 2002;132:47-52.

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Sleep is the best medicine

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### Clinical Pearls

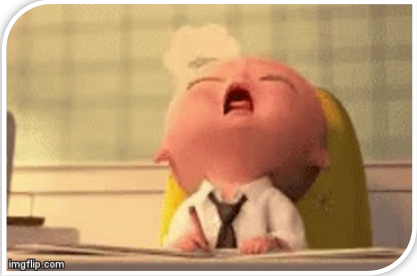
- Ask your patients about sleep!
- Counsel about sleep!
- Discuss blue light in patients with poor sleep
- Ask about timing of dry eye
  - Check for incomplete lid seal or incomplete lid closure
- Check for floppy eyelid syndrome and ask about OSA
- Know that poor sleep, DED, and depression/anxiety likely exacerbate one another and contribute to the overall burden of disease
- Know the risk factors for OSA and the potential ocular consequences
- Refer for polysomnography and sleep studies when you are suspicious for OSA



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### Listen to your body!



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### My family of 3



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### My family of 3...5!

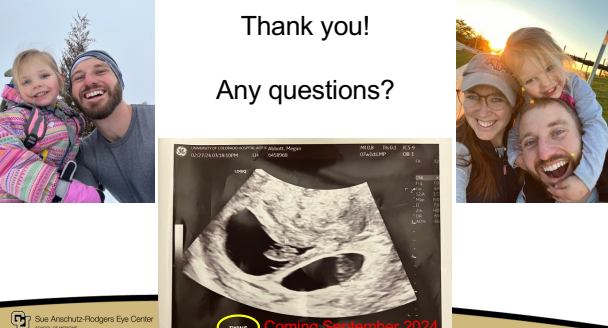


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### Thank you!

### Any questions?



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### OSA Summary

- OSA is severely underdiagnosed
  - 60% of patients who report sleep apnea symptoms remaining undiagnosed
  - Only 15% of those who are symptomatic receive diagnosis and treatment
- OSA causes hypoxia, sympathetic overactivation, and hormonal dysregulation that can lead to a number of posterior ocular health conditions
- Each of these conditions can lead to permanent vision loss or blindness.
- Treatment of these conditions without addressing underlying OSA leads to ineffective or suboptimal treatment and significant cost

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Kapur V, Strohl KP, Redline S, et al. Underdiagnosis of sleep apnea syndrome in U.S. communities. Sleep Breath 2002; 6:49-54

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